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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 298-147

First Inventor Steinbichler

Title PROCESS AND APPARATUS FOR ...

Express Mail Label No. EV035533274US

11/28/01  
JC971 U.S. PTO

JC971 U.S. PTO  
09/996239

11/28/01

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
See MPEP chapter 600 concerning utility patent application contents.			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 18] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 3 ]</p> <p>5. Oath or Declaration [ Total Pages ]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>			
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: Check for \$433</p>			

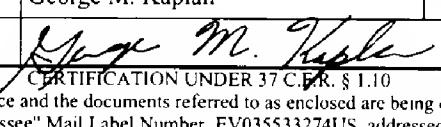
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information. Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

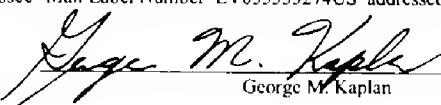
## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
<p>Name Rocco S. Barrese, Esq.</p> <p>Address DILWORTH &amp; BARRESE, LLP</p> <p>333 Earle Ovington Blvd.</p> <p>City Uniondale</p> <p>State New York</p> <p>Zip Code 11553</p> <p>Country U.S.A</p> <p>Telephone (516) 228-8484</p> <p>Fax (516) 228-8516</p>			
Name (Print/Type)		Registration No. (Attorney/Agent) 28,375	
Signature 		Date Nov. 28, 2001	

### CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035533274US addressed to: Assistant Commissioner for Patents, Box PCT, P.O. Box 2327, Arlington, VA 22202.

Dated: Nov. 28, 2001

  
George M. Kaplan

11/28/01  
1159 U.S. PRO

11-30-01 A

PATENT

Atty. Docket No. 298-147

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

JC971 U.S. PRO  
109/996239  
11/28/01

UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Hans Steinbichler, Volker Rasenberger, Rainer Huber and Roman Berger

For: PROCESS AND APPARATUS FOR RECORDING THE DEFORMATION OF OBJECTS

Enclosed are:

13 page(s) of specification

1 page(s) of Abstract

4 page(s) of claims

1 page(s) of Translation of Fig. 2

2 sheets of drawings  formal  informal (**Figs 1 and 2**)

4 page(s) of Preliminary Amendment and marked-up copy

\_\_\_\_\_ page(s) of Declaration and Power of Attorney

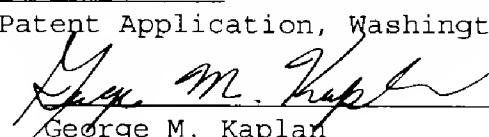
An Assignment of the invention to \_\_\_\_\_

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035533274US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

November 28, 2001

Date

  
George M. Kaplan

[ ] This application claims the benefit under 35 U.S.C.  
§119(e) of U.S. Provisional Application(s) No(s) .:

APPLICATION NO(S) .:

FILING DATE

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

[x] Certified copy of application(s)

<u>Country</u>	<u>Appln. No.</u>	<u>Filed</u>
Germany	100 58 887.5	November 29, 2000
Germany	101 01 057.5	January 11, 2001

from which priority under Title 35 United States Code, § 119  
is claimed

[ ] is enclosed.

[X] will follow.

CALCULATION OF UTILITY APPLICATION FEE\*

<u>For</u>	<u>Number Filed</u>	<u>Number Extra</u>	<u>Rate</u>	<u>Basic Fee</u>
Total				\$ 740.00
Claims	27	-20 = 7	x \$ 18.00	\$ 126.00
Independent				
Claims	2	-3 = 0	x \$ 84.00	\$ 0.00
Multiple	[ ] yes	Add'l. Fee	\$280.00	\$
Dependent				
Claims	[x] no	Add'l. Fee	None	= \$
				TOTAL \$ 866.00

- [X] Small Entity Status Under 37 C.F.R. § 1.27.  
Reduced fees under 37 C.F.R. § 1.9(f) (50% of 866.00  
total) paid herewith \$433.00.  
[ ] The amount of \$40.00 for recording the attached Assignment  
is included in a separate check.

\* Based upon accompanying Preliminary Amendment

\*\* includes all independent and single dependent claims and all claims referred to in  
multiple claims. See 37 C.F.R. § 1.75(c).

- A check in the amount of \$ 433.00 to cover the  
[] recording, [X] filing fee(s) is attached.
- [ ] Charge fee to Deposit Account No. 04-1121. Order No.  
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- Please charge any deficiency as well as any other fee(s)  
which may become due under 37 C.F.R. § 1.16 and 1.17, at any  
time during the pendency of this application, or credit any  
overpayment of such fee(s) to Deposit Account No. 04-1121.  
Also, in the event any extensions of time for responding are  
required for the pending application(s), please treat this  
paper as a petition to extend the time as required and  
charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES  
OF THIS SHEET ARE ENCLOSED.

Date: November 28, 2001



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